SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
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Instruction 1(b).		pursuant to Section 16(a) of the Securities Exchange Act of 1934	nours per respo	onse: 0.	5		
		or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of R <u>Heidman Melissa</u>	1 6	2. Issuer Name and Ticker or Trading Symbol <u>NOODLES & Co</u> [NDLS]	(Check	tionship of Re all applicable Director Officer (give	10% Owner		
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Х	below)		below)	
520 ZANG STREET, SUITE D		03/14/2020		EVP & General Counsel			
520 ZAING STREET,	SUILED						
(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/	Group Filing (Check Applicable	Э
BROOMFIELD CO	80021		Х	Form filed b	y One Reporti	ng Person	
(City) (State	e) (Zip)			Form filed b Person	by More than C	ne Reporting	
	Table I - Non-Deriva	tive Securities Acquired, Disposed of, or Benef	icially	Owned			-

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Stock	03/14/2020		A		30,918 ⁽¹⁾	A	\$ <mark>0</mark>	70,317	D	
Class A Common Stock	03/14/2020		A		30,918(2)	A	\$ <mark>0</mark>	101,235	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								•				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/\	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Award of Restricted Stock Units ("RSUs") received on March 14th, 2020. Each RSU represents a right to receive one share of Noodles & Company Class A common stock. The RSUs vest in four equal annual installments beginning on March 14th, 2021.

2. Award of Performance Restricted Stock Units ("RSUs") received on March 14th, 2020. Each RSU represents a right to receive one share of Noodles & Company's Class A common stock. These restricted stock units are subject to performance-based vesting conditions linked to Noodles & Company's Same Store Sales and Adjusted EBITDA targets through January 3, 2023 (the "Performance Period").

Remarks:

/s/ Kathryn Lockhart, attorney 03/17/2020 in fact

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.