SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Petersen Su	Iress of Reporting I <u>C</u>	Person*		ier Name <b>and</b> Ticke DDLES & Co			(Check	tionship of Reporti all applicable) Director Officer (give title	10% 0	
(Last) 520 ZANG ST	(First) F., UNIT D	(Middle)		e of Earliest Transa 4/2021	ction (Month/	Day/Year)	X	below) EVP-Inclusion	below	)
(Street)			4. If Ai	mendment, Date of	Original Filed	l (Month/Day/Year)	6. Indiv Line)	/idual or Joint/Grou	p Filing (Check	Applicable
BROOMFIEL	D CO	80021					X	Form filed by On	1 0	
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
		Table I - Non-	Derivative S	ecurities Acqu	uired, Disp	posed of, or Benet	ficially	Owned		
1. Title of Security (Instr. 3)		C	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (/ Disposed Of (D) (Instr. 3 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

								Reported			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	03/14/2021		A		4,180 <sup>(1)</sup>	A	\$ <mark>0</mark>	43,295	D		
Class A Common Stock	03/14/2021		F		1,058(2)	D	\$ <mark>0</mark>	42,237	D		
Table II. Derivative Securities Acquired Disposed of a Repeticially Owned											

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instriand 5	vative rities ired r osed ) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of		Securities Security Underlying (Instr. 5) Derivative Security (Instr.		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Award of Restricted Stock Units ("RSUs") received on March 14th, 2021. Each RSU represents a right to receive one share of Noodles & Company Class A common stock. The RSUs vest in four equal annual installments beginning on March 14th, 2022.

2. Represents shares surrendered to the Issuer by the Reporting Person to pay required tax withholdings due upon vesting of RSUs. The shares surrendered were valued based on the closing price of the common stock of the Issuer on the date of surrender.

## **Remarks:**

<u>/s/ Melissa Heidman, attorney</u> <u>in fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.