SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	-	pursuant to Section 16(a) of the or Section 30(h) of the Investm
1. Name and Address of Reporting Person [*] <u>Heidman Melissa</u>		2. Issuer Name and Ticker or T NOODLES & Co [N

OF CHANGES IN BENEFICIAL OWNERSHIP

Securities Exchange Act of 1934 eent Company Act of 1940

1. Name and Address of Reporting Person*					2. Issuer Name and Ticker or Trading Symbol NOODLES & Co [NDLS]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Heidman Melissa</u>							<u>2 L 11D</u>	10]				Direc	tor	10% O	wner			
						3. Date of Earliest Transaction (Month/Day/Year)							er (give title v)	Other (below)	specify			
(Last)	(F	irst) (I	Middle)		06/06/2020							E	EVP & General Counsel					
520 ZANG STREET, SUITE D													vi a da	crui Counser				
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
BROOM	IFIELD C									X Form	Form filed by One Reporting Person							
												Form Pers		re than One Rep	orting			
(City)	(S	tate) (2	Zip)									1 013	511					
		Table	I - Non-D	Derivative	Secu	rities Acq	uired,	Dis	oosed of,	or Ber	neficia	ally Own	ed					
Dat			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		ction Instr.				nd Securi Benefi	Amount of ecurities eneficially wned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
							v	Amount	(A) or (D)	Price	Transa	ction(s) 3 and 4)		(11150.4)				
Class A Common Stock 06/06/							F		256 ⁽¹⁾	D	\$ <u>6.8</u>	5 10	0,979	D				
		Ta		erivative S g., puts, c									d					
1. Title of Derivative Security 2. 3. Transaction Date 3A. Deemed Execution Date, Security or Exercise (Month/Day/Year) if any				Date, Trans	Transaction of			Expiration Date A			7. Title and Amount of Securities		9. Number derivative Securities	of 10. Ownership Form:	11. Nature of Indirect Beneficial			

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	rities ired r osed) : 3, 4	Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares surrendered to the Issuer by the Reporting Person to pay required tax withholdings due upon vesting of Restricted Stock Units. The shares surrendered were valued based on the closing price of the common stock of the Issuer on the date of surrender.

Remarks:

/s/ Kathryn Lockhart, attorney 06/09/2020

<u>in fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.